

draft INTERNAL AUDIT PROGRAMME 2018/19

2018/19 prog
daysRisk
assess
2018/19

		2018/19 prog days	Risk assess 2018/19
01C	Corporate Counter Fraud	5	2
02C	Corporate Equality & Diversity	15	4
03C	Corporate Health & Safety	10	4
04KF	Corp Services - HR & Payroll (combined)	15	4
05KF	Resources - Budgets	5	3
06KF	Resources - Cash & Bank	5	3
07KF	Resources - Contracts & Procurement	10	3
08KF	Resources - Creditors	10	4
09KF	Resources - Housing Rents	10	3
10KF	Resources - Income, Fees & Charges	5	3
11O	Corp Services - Museum	5	2
12O	Governance & Legal - Democratic Services	10	3
13O	Governance & Legal - Legal Services	10	4
14O	Housing & Health - Community - Safety	10	3
15O	Housing & Health - Environmental Health - Enforcement	15	3
16O	Housing & Health - Environmental Health - Disabled Facilities Grants	10	3
17O	Housing & Health - Environmental Health - Empty Homes & Private Sector Housing	10	3
18O	Housing & Health - Property Services - Planned Maintenance	15	3
19O	Housing & Health - Property Services - Stock & Voids	5	4
20O	Housing & Health - Property Services - Stores	10	3
21O	ICT & Facilities - ICT Security	10	3
22O	Planning - Building Control Service and Fees	10	3
23O	Planning - Development Management, Support & Advice	10	3
24O	Planning - Economic Development Service - business parks and communities	10	2
25O	Planning - Economic Development Service - stansted airport & LSP economic growth, jobs & prosperity	10	2
26O	Planning - Enforcement	15	3
27O	Planning - Neighbourhood Plans	10	3
28O	Street Services - Trade Waste and Income Generating Services	10	4
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comment March 2018	last audited	last audit opinion
to conclude FRA 2017/18	2016/17	
B/F from 2017/18	2012/13	limited
to undertake review of self-assessment if completed	2015/16	adequate
kf yr 2 new iTrent system	2016/17	limited
kf yr 1 B/F from 2017/18	2015/16	substantial
kf yr 2	2016/17	satisfactory
kf yr 2	2015/16	substantial
kf yr 2	2015/16	substantial
kf yr 2	2015/16	substantial
kf yr 2	2016/17	substantial
to conclude 2016/17 audit	2016/17	
not audited previously, PI Red for most of 2017/18		
service changes B/F from 2017/18	2012/13	substantial
service changes	2014/15	substantial
service changes	2014/15	substantial
	2013/14	adequate
request by service manager	2014/15	substantial
KPI red	2016/17	substantial
service changes	2015/16	adequate
	2015/16	substantial
	2014/15	substantial
	2014/15	substantial
service changes		
to complete 2016/17 audit (interim report issued)	2017/18	little